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JCS88 U.S. PTO

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November 17, 1999

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: New U.S. Patent Application  
Applicant : Kevin SCHMIDT  
Title : PORTABLE DISPENSING BOTTLE WITH  
DISSOLVABLE WAX PLUG AT INLET  
My File : 337/1/003

JCS88 U.S. PTO  
09/441869  
11/17/99

Certificate of Mailing By Express Mail Under 37 CFR 1.10

Express Mail "Mailing Label No.": EJ639251686US  
Date of Deposit : NOVEMBER 17, 1999

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Richard M. Goldberg  
(Name of Registered Representative)

Richard M. Goldberg NOVEMBER 17,  
(Signature and Date) 1999

Dear Sirs:

Transmitted herewith for filing in the U.S. Patent and Trademark Office is a new U.S. patent application including:

- (a) FORM PTO/SB/05 (4/98) - Utility Patent Application Transmittal;
- (b) FORM PTO/SB/17 (6/99) - Fee Transmittal;
- (c) Application of seventeen (17) pages, with twenty (20) claims;
- (d) Two (2) sheets of drawings (Figs. 1-7);
- (e) Declaration and Power of Attorney;

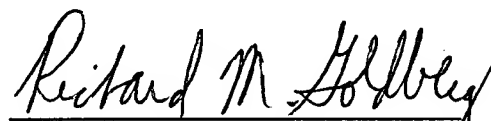
09/441869 "11/17/99"

Assistant Commissioner for Patents  
November 17, 1999  
Page 2

- (f) Verified Statement Claiming Small Entity Status - Independent Inventor;
- (g) Information Disclosure Statement; 2 sheets of Form PTO-1449, and with 16 references; and
- (h) Check No. 5253 in the amount of \$380.00.

The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1524.

Respectfully submitted,

  
Richard M. Goldberg  
Registration No. 28,215

RMG:mz  
enclosures

00444-4499

*Law Offices of*  
**RICHARD M. GOLDBERG**

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 337/1/003

First Inventor or Application Identifier Kevin SCHMIDT

Title PORTABLE DISPENSING BOTTLE WITH DIS-  
SOLVABLE WAX PLUG AT INLET

Express Mail Label No. EJ639251686US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 17]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]  
(Figs. 1-7)
4. Oath or Declaration [Total Pages 1]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

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5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 [6] Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☒ \* Small Entity Statement(s) filed in prior application, Status still proper and desired  
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☐ Correspondence address below

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Name (Print/Type)	Richard M. Goldberg	Registration No. (Attorney/Agent)	28,215
Signature	Richard M. Goldberg	Date	NOV. 17, 1999

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.